

BAKER BOTTS, L.L.P.  
30 Rockefeller Plaza  
New York, NY 10112  
(212) 705-5000

UNITED STATES PATENT & TRADEMARK OFFICE  
FACSIMILE COVER SHEET

Date: January 8, 2002

Fax Number:.....(703) 746-3165

No. Of Pages (including cover sheet).....24

Time Sent:.....approx 3 PM EST

FACSIMILE:.....(212) 705-5020 (AUTOMATIC-24 hours)

FOR ASSISTANCE:.....(212) 705-5000

MESSAGE : Attn: Examiner: Vickie Kim

Group Art Unit: 1615

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper for Serial No. 09/778,154 is being facsimile transmitted to the Patent and Trademark Office on the date indicated below.

Guy F. Birkenmeier

Name

  
Signature

January 8, 2002

Date

(TO BE COMPLETED IN DUPLICATE)

File Number: APAP31191A

Billing Code: 072852.0117

NY02:364532.1

FROM BAKERBOTT

(TUE) 1. 8' 02 14:58/ST. 14:57/NO. 4860225850 P 2

**RE: Appln. No. 09/778,154 of Seo Hong Yoo**

**Dear Examiner Kim:**

**Further to your conversation with Neil P. Sirota this morning, transmitted herewith is a copy of the Preliminary Amendment filed on December 28, 2001 for application Ser. No. 09/778,154. Thank you for your attention to this matter.**


**Sincerely,**

A handwritten signature in cursive script, appearing to read "Guy F. Birkenmeier".

**Guy F. Birkenmeier**

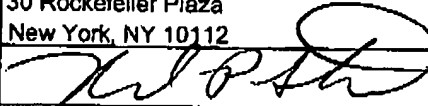
NY02:364532.1

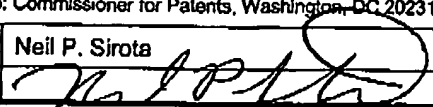
Received from <212> at 1/8/02 3:00:11 PM [Eastern Standard Time]

**BAKER BOTTS LLP**Please type a plus sign (+) inside this box → 

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/778,154
	<b>Filing Date</b>	2/5/2001
	<b>First Named Inventor</b>	Seo Hong Yoo
	<b>Group Art Unit</b>	1615
	<b>Examiner Name</b>	TBA
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b> APAP31191A; 072852.0117

ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
Remarks <input checked="" type="checkbox"/> Preliminary Amendment		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112
Signature	 Att Name: Neil P. Sirota PTO Reg: 38,306
Date	12/28/01

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 12/28/01	
Typed or printed name	Neil P. Sirota
Signature	 Date 12/28/01

**BAKER BOTTS LLP****FEE TRANSMITTAL  
for FY 2001***Patent fees are subject to annual revision.***TOTAL AMOUNT OF PAYMENT (\$)** 834**Complete if Known**

Application Number	09/778,154
Filing Date	2/5/2001
First Named Inventor	Seo Hong Yoo
Examiner Name	TBA
Group Art Unit	1615
Attorney Docket No.	APAP31191A; 072852.0117

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 02-4377  
 Deposit Account Name: Baker Botts LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

**SUBTOTAL (1) (\$)** 0

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
147	87	60	540
16	9	7	284
Multiple Dependent			

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)** 834

\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	480	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,980	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 0

**SUBMITTED BY**

Name (Print/Type) Neil P. Sirota

Signature

Registration No.  
(Attorney/Agent)

38,306

**Complete (if applicable)**

Telephone 212-408-2600

Date 12/28/01

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.